

Notice of References Cited

Application/Control No.

10/810,107

Applicant(s)/Patent Under
Reexamination
STAUB, RENATO

Examiner

Ed Baird

Art Unit

3693

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U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-6,687,681	02-2004	Schulz et al.	705/36T
*	B	US-7,177,831	02-2007	O'Shaughnessy et al.	705/36R
	C	US-			
	D	US-			
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	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Francis: "Mutual-Fund Records Pay Off at Tax Time", Wall Street Journal. (Eastern edition), New York, N.Y., Nov 16, 2001. pg. C.1
	V	Lauricella: "Making Rebalancing Automatic --- Firms Now Offer an Option Many Investors Find Hard To Carry Out on Their Own", Wall Street Journal. (Europe), Brussels: Feb 17, 2003. pg. M.4
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.